

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/892,206

06/26/2001

Thomas J. Brennan

R-171

DELTAGEN, INC. 1003 Hamilton Avenue Menlo Park, CA 94025



CONFIRMATION NO. 9330
FORMALITIES LETTER

PE CO0000000007556841*

MAR 0 8 2002

Date Mailed: 02/28/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 10/24/2001 to the Notice to File Missing Parts (Notice) mailed 08/24/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE FILING COMPLETION UNDER RULE 53(f)

Docket/Order No.

Customer No

Deposit Account No.

1300 A

R-171

26619

50-1271

March 8, 2002

In re PATENT APPLICATION of

Inventors:

Brennan et al.

Appln. No.:

09/892,206

Filed:

June 26, 2001

Title:

Transgenic Mice Containing Anaphylatoxin C3A

MAR 0 8 2002

PADEM

Gene Disruptions

Commissioner for Patents BOX MISSING PARTS Washington, D.C. 20231

Sir:

. Th

The following completes the thing where Rule 53(f) of the above-identified patent application:

1. ■ 4 Sheets of Informal Drawings, Figures 1 – 3, which have been corrected to complete application, however, do not add new material to the subject application.

2. Applicants hereby claim "small entity" status pursuant to 37 CFR §1.27.

Request for Extension of Time (below), as Drawings were due with Reply to Notice to File Missing Parts on initial due date of October 24, 2001.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

5.	Basic Filing Fee				\$370.	\$0	201
6.	Total Claims	42	minus 20 =	42	x 9.	+0	203
7.	Independent Claims	17	minus 3 =	17	x 42.	+0	202
8.	Multiple Dependent Claims				140.	+0	204
9.	Surcharge for filing Declaration/filing fee late				65.	+0.00	205
10				L			
ĮIU.	FILI	NG FEE: LI (CHARGE AUTHOR	RIZATION [☑ ENCLOSED =	\$0	
10. 11.	Original due date: October 2		CHARGE AUTHOR	RIZATION [ENCLOSED =	\$0	
11.	····	4, 2001 stend the origi	nal due date to co			5 MONTHS	215/216 217/218
11.	Original due date: October 2	4, 2001 ctend the origi he requisite fo	nal due date to co	over the date	\$55. / 200. 460. / 720. / 980.	5 MONTHS	1

<u>CHARGE STATEMENT</u>: The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

DELTAGEN, INC.

740 Bay Road

Redwood City, CA 94063

Tel: (650) 569-5100

Fax: (650) 569-5280

03/18/2002 BABRAHA1 00000086 501271 09892206

01 FC:228

980.00 CH

JAME K. BABIN, Reg. No. 47,224

Express Mail Label: Date of Deposit:

EV 007607342 US March 8, 2002

I certify that this paper and listed enclosures are being deposited with the U.S. Post Office "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the above date, addressed to Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231

JOYCE VOGEL